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FORM PTO-1390  
(REV 10-94)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

A-574

US APPLICATION NO. (If known see 37 USC 15)

09/857855

INTERNATIONAL APPLICATION NO

PCT/US99/28975

INTERNATIONAL FILING DATE

08 December 1999

PRIORITY DATE CLAIMED

09 December 1998

TITLE OF INVENTION

GRNF4, A GDNF-RELATED NEUROTROPHIC FACTOR

APPLICANT(S) FOR DOE/EO/US

SIMONET, William Scott; ASUNCION, Franklin J.; MIN, Hosung; JING, Shuqian

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under 35 Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made, however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 35 C.F.R. 1.97 and 1.98.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment (see first paragraph amendment, below).  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.  
☒ Please amend the specification by inserting before the first line:  
 --This application is a 35 U.S.C. 371 filing of International Application No. PCT/US99/28975, filed December 8, 1999, which claims the benefit of US Provisional Application No. 60/111,626, filed December 9, 1998, which is, in its entirety, hereby incorporated by reference herein. --
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Duplicate copy of Fee Calculation Sheet

page 1 of 2

## EXPRESS MAIL CERTIFICATE

"Express Mail" mail labeling number: EL 360694965 USDate of Deposit: 11 June 2001

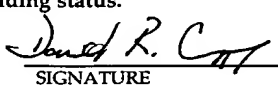
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Sherry L. St. Andrew

Printed Name

Sh. St. Andrew

Signature

US APPLICATION NO. (If known see 37 U.S.C. 15) <b>09/857855</b>		INTERNATIONAL APPLICATION NO. <b>PCT/US99/28975</b>	ATTORNEY'S DOCKET NUMBER <b>A-574</b>
<b>17</b> <input checked="" type="checkbox"/> The following fees are submitted			<b>CALCULATIONS PTO USE ONLY</b>
<b>BASIC NATIONAL FEE (35 C.F.R. 1.492(A)(1)-(5):</b> Search Report has been prepared by the EPO or JPO \$860.00  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00  No International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but International search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$710.00  Neither International preliminary examination fee (37 C.F.R. 1.482) nor International search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$1000.00  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (35 C.F.R. 1.492(e))			\$ 860.00 \$ 130.00
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>
Total claims	69-20	49	X \$18.00
Independent Claims	9-3	6	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+	\$270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$ 2622.00
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must be filed (Note 37 C.F.R. 1.9, 1.27, 1.28).			\$
<b>SUBTOTAL =</b>			\$ 2622.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).			\$
<b>TOTAL NATIONAL FEE =</b>			\$ 2622.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +			\$
<b>TOTAL FEES ENCLOSED =</b>			\$ 2622.00
			<b>Amount to be: refunded \$</b>
			<b>charged \$</b>
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.			
b. <input checked="" type="checkbox"/> Please charge my deposit Account No. <u>01-0519</u> in the amount of \$ <u>2622.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.			
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-0519</u> . A duplicate copy of this sheet is enclosed.			
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: <b>U.S. Patent Operations/DRC Dept. 4300; M/S 27-4-A AMGEN INC. One Amgen Center Drive Thousand Oaks, California 91320-1799 US</b>			 SIGNATURE  Daniel R. Curry NAME  32,727 REGISTRATION NUMBER